

DECLARATION FOR PATENT APPLICATION

D-2804

As a below named inventor, I hereby declare that:

My residence post office address and citizenship are as stated below next to my name.

I believe I am the original first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled **PRESERVED CYCLODEXTRIN-CONTAINING COMPOSITIONS** the specification of which

(check one) ☒ is attached hereto
☐ was filed on
as US Application Serial Number or PCT International Application Number
and was amended on ____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability as defined in 37 CFR § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed. **NONE**

Prior Foreign Application(s)

Priority Not Claimed

(Number)

(Country)

(Day/Month/Year Filed)

☐

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below.

60/098,854

September 2, 1998

(Application Number)

(Filing Date)

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT International application designation the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application. **NONE**

(Application Number)

(Filing Date)

(Status - patented, pending, abandoned)

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: Martin A. Voet, Reg. No. 25,208, Robert Baran, Reg. No. 25,806, Carlos A. Fisher, Reg. No. 36,510, Stephen Donovan, Reg. No. 33,433 and Frank J. Uxa, Reg. No. 25,612

Address all telephone calls to
Address all correspondence to

Frank J. Uxa - Telephone: 949-450-1750
Frank J. Uxa
4 Venture, Suite 300
Irvine, CA 92618

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor (given name, family name) **GARY J. BECK**

Inventor's signature
Residence
Post Office Address

Gary J Beck
Fullerton, CA
2085 Smokewood Ave.
Fullerton, CA 92831

Date 22 July 1998
Citizenship U.S.A.

Full name of second inventor (given name, family name) **EDWARD D.S. KERSLAKE**

Inventor's signature
Residence
Post Office Address

E Kerslake
Newport Beach, CA
3010 Park Newport
Newport Beach, CA 92660
8/25/1999

Date 25th August 1999
Citizenship U.K.

D2804 Continued

2

Full name of third inventor (given name, family name)

OREST OLEJNIK

Inventor's signature

Residence

Post Office Address


~~Trabuco Canyon, CA~~~~21121 Meander Lane~~~~Trabuco Canyon, CA 92679~~

Date

8-31-1999

Citizenship

~~UK~~

U.S.

18 GOLDEN POPPY DRIVE

COTO DE CATIA, CA 92679



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
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Washington, D.C. 20231

NOVEMBER 15, 1999

PTAS

FRANK J. UXA
4 VENTURE
IRVINE, CA 92618



101145129A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 09/02/1999

REEL/FRAME: 010225/0771
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

BECK, GARY J.

DOC DATE: 08/31/1999

ASSIGNOR:

KERSLAKE, EDWARD D.S.

DOC DATE: 08/25/1999

ASSIGNOR:

OLEJNIK, OREST

DOC DATE: 08/31/1999

ASSIGNEE:

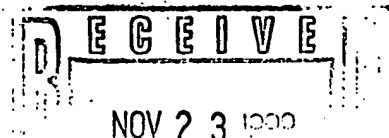
ALLERGAN SALES, INC.
PO BOX 19534
2525 DUPONT DR.
IRVINE, CALIFORNIA 92623-9534

SERIAL NUMBER: 09388968

FILING DATE: 09/02/1999

PATENT NUMBER:

ISSUE DATE:



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010225/0771 PAGE 2

MAYA BENNETT, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

FORM PTO-5695
(Rev. 6-93)
OMB No. 0651-0011 (exp. 4/94)

09-15-1999



2 SHEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

9-2-99

D. 2804

To the: Honorable Con.

101145129

Record the attached original documents or copy thereof.

1. Name of conveying party(ies):

GARY J. BECK
EDWARD D.S. KERSLAKE
DREST OLEJNIK

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name:

ALLERGAN SALES, INC.

Internal Address:

PO BOX 19534

Street Address:

2525 DUPONT DR.
IRVINE, CA 92618-9534

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: 8/25+31/99

09388968

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: AUGUST 25+31, 1999

A. Patent Application No.(s)

B. Patent No. (s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name:

FRANK J. UXA

Internal Address:

Street Address:

4 VENTURE
IRVINE, CA
92618

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41).....\$ 40.00

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number:

01-0885

(Attach duplicate copy of this page if paying by deposit account)

9/13/1999 MTHAI1 00000127 010885 09388968

FC:581

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Frank J. Uxa

Name of Person Signing

Signature

Date

9/2/99

Total number of pages including cover sheet, attachments and document:

3

ex Massachusetts 8/25/1999
ASSIGNMENT

WHEREAS, we, GARY J. BECK, of the County of Orange, State of California, EDWARD D.S. KERSLAKE, of the County of Orange, State of California, and OREST OLEJNIK, of the County of Orange, State of California, have invented certain new and useful improvements in PRESERVED CYLCODEXTRIN-CONTAINING COMPOSITIONS for which said GARY J. BECK has this 31st day of AUGUST, 1999, EDWARD D.S. KERSLAKE has this 25th day of AUGUST, 1999, and for which said OREST OLEJNIK has this 31st day of AUGUST, 1999, executed application papers for United States Letters Patent thereon; executed application papers for United States Letters Patent thereon; and

NOW, THEREFORE, in consideration of ONE DOLLAR (\$1.00) and other valuable consideration paid to me by Allergan Sales, Inc., having its principal place of business at 2525 Dupont Drive, Irvine, CA 92612, receipt of which is hereby acknowledged, and intending to be legally bound, we do hereby assign unto said Allergan Sales, Inc., its successors, and assigns, the entire right, title and interest in and to the said invention, said executed application, any divisional, continuation and continuation-in-part of said application, and all Letters Patent of the United States and all foreign countries to be obtained therefore;

We further assign to said Allergan Sales, Inc. the right, optionally in its own name or in the names of its related companies, to apply for, obtain and maintain in all countries foreign to the United States, patent and/or Utility Model applications for said invention, including the full right to claim for any such application the benefits of any priority rights based on said executed United States application;

And we agree to execute further instruments (including divisional, continuation, continuation-in-part or reissue applications or other instruments) proper to effectuate the premises, this agreement to be binding upon my heirs, executors, and administrators;

And we request the Commissioner of Patents and Trademarks of the United States, and any official of any country or countries foreign to the United States whose duty it is to issue patents on applications as aforesaid, to issue Letters Patent in accordance herewith.

Executed this 31 day of AUGUST, 1999.

GARY J. BECK
GARY J. BECK

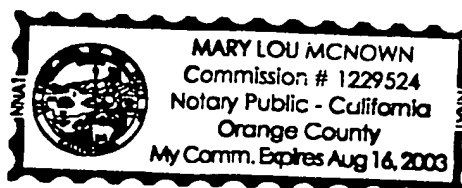
State of California)
) ss
 County of Orange)

On this 31st day of AUGUST, 1999 before me, MARY LOU MCNOWN, Notary Public, personally appeared GARY J. BECK personally known to me or proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s) or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Mary Lou McNown
 Notary Public

SEAL



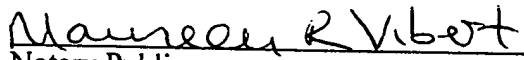
Executed this 25th day of August, 1999.


EDWARD D.S. KERSLAKE

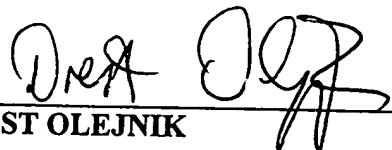
Massachusetts
State of ~~California~~)
Middlesex ss
County of ~~Orange~~

On this 25th day of August, 1999 before me, Maureen R Vibert, personally appeared **EDWARD D.S. KERSLAKE** personally known to me or proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s) or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.


Notary Public
My Commission Expires: 11/11/99
SEAL

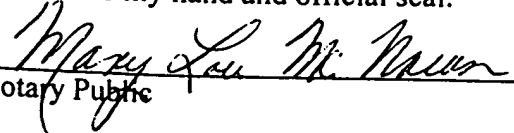
Executed this 31 day of August, 1999.


OREST OLEJNIK

State of California)
County of Orange) ss

On this 31st day of August, 1999 before me, MARY LOU MCNOWN, ^{Notary Public} personally appeared **OREST OLEJNIK** personally known to me or proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s) or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.


Notary Public
SEAL

